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Commissioner for Patents, Washington, D.C. 20231.

Typed or Printed Name Susan M. Alessi

Signature *Susan M. Alessi* Date 02/28/2002

**SUBMISSION OF  
SUBSTITUTE  
DRAWINGS**

Address to:  
**BOX Missing Parts**  
Commissioner for Patents  
Washington, D.C. 20231

Customer Number	24353
Application Number	09/998,250
Confirmation Number	5685
Filing Date	November 30, 2001
First Named Inventor	DEDHAR, SHOUKAT
Examiner Name	
Group Art Unit	1633
Attorney Docket	KINE-001CIP5

Sir:

Transmitted herewith are 3 sheets of substitute drawings in compliance with 37 CFR 1.84 to be filed in connection with the above-identified application.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 50-0815, order number KINE-001CIP5.

Respectfully submitted,  
BOZICEVIC, FIELD & FRANCIS LLP

Date: 02-28-2002

By: Pamela J. Sherwood  
Pamela J. Sherwood  
Registration No. 36,677

BOZICEVIC, FIELD & FRANCIS LLP  
200 Middlefield Road, Suite 200  
Menlo Park, California 94025  
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Please type a plus sign (+) beside this box → +

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*Receipt/A#*

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/998,250
		Filing Date	November 30, 2001
		First Named Inventor	DEDHAR, SHOUKAT
		Group Art Unit	1633
		Examiner Name	
Total Number of Pages in This Submission	20	Attorney Docket Number	KINE-001CIP5

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form in duplicate <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> Copy of Notice to File Missing Parts <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Submission of Drawings <input checked="" type="checkbox"/> Drawing(s) <input checked="" type="checkbox"/> Declaration <input checked="" type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <div style="border: 1px solid black; padding: 2px;">Remarks</div>	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Marked up copy of Filing Receipt 2. Supplemental Application Data Sheet 3. Return Receipt Postcard
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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	PAMELA J. SHERWOOD, Reg. No. 36,677	
Signature		
Date	February 28, 2002	

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: February 27, 2002/February 28, 2002.

Typed or printed name	Susan M. Alessi	Date	February 28, 2002
Signature			

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Page 1 of 2 #4

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**COMMISSIONER FOR PATENTS**  
**UNITED STATES PATENT AND TRADEMARK OFFICE**  
**WASHINGTON, D.C. 20231**  
**[www.uspto.gov](http://www.uspto.gov)**

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/998,250	11/30/2001	Shoukat Dedhar	KINE001CIP5

CONFIRMATION NO. 5685

## **FORMALITIES LETTER**



\*OC000000007238623\*

PAMELA J. SHERWOOD  
Bozicevic, Field and Francis LLP  
Suite 200  
200 Middlefield Road  
Menlo Park, CA 94025

Date Mailed: 12/28/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

05/21/2002 HMOHMM1 00000010 500815 09998250  
01 EC-205 65.00 CH

FILED UNDER 37 CFR 1.53(b)

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
  - To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
  - **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
    - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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**FEES TRANSMITTAL**  
**for FY 2002**

*Patent fees are subject to annual revision.***TOTAL AMOUNT OF PAYMENT (\$)** **65.00**

Complete if Known	
Application Number	09/998,250
Filing Date	November 30, 2001
First Named Inventor	DEDHAR, SHOUKAT
Examiner Name	
Group Art Unit	1633
Attorney Docket No.	KINE-001CIP5

**METHOD OF PAYMENT**

1.  The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:  
 Deposit Account Number 50-0815  
 Deposit Account Name Bozicevci, Field & Francis LLP  
 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
 Applicant Claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed: Check     Credit Card     Money Order     Other**FEE CALCULATION**2. **BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	740	201 370 Utility filing fee	
106	330	206 165 Design filing fee	
107	510	207 255 Plant filing fee	
108	740	208 370 Reissue filing fee	
114	160	214 80 Provisional filing fee	
<b>SUBTOTAL (1)</b>			

1. **EXTRA CLAIM FEES**

Total Claims	14 -20** =	Extra Claims	Fee from below	Fee Paid
	=	x	=	
Indep. Claims 1-3**	=	x	=	

Multiple Dependent =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	84	202 42 Independent claims in excess of 3
104	280	204 140 Multiple dependent claim, if not paid
109	84	209 42 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2) \$</b>		

\*\*or number previously paid, if greater; For Reissues, see above.

3. ADDITIONAL FEES			
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge – late filing fee or oath	85.00
127	50	227 25 Surcharge – late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examination action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	400	216 200 Extension for reply within second month	
117	920	217 460 Extension for reply within third month	
118	1,440	218 720 Extension for reply within fourth month	
128	1,960	228 980 Extension for reply within fifth month	
119	320	219 160 Notice of Appeal	
120	320	220 160 Filing a brief in support of an appeal	
121	280	221 140 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive – unavoidable	
141	1,280	241 640 Petition to revive – unintentional	
142	1,280	242 640 Utility issue fee (or reissue)	
143	460	243 230 Design issue fee	
144	620	244 310 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Processing fee under 37 CFR 1.17(q)	
126	180	126 180 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
146	740	246 370 For each additional invention to be examined (37 CFR § 1.129(a))	
149	740	249 370 For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279 370 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	
Other fee (specify) _____			
*Reduced by Basic Filing Fee Paid		<b>SUBTOTAL (3) (\$)</b> <b>65.00</b>	

**SUBMITTED BY**

Complete (if applicable)

Name (Print/Type)	Pamela J. Sherwood	Registration No. (Attorney/Agent)	36,877	Telephone	(850) 327-3400
Signature	<i>Pamela J. Sherwood</i>			Date	02/28/2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

Typed or Printed Name Susan M. Alessi

Signature *Susan M. Alessi* Date 02/28/2002

**REQUEST FOR  
CORRECTED FILING  
RECEIPT**

Address to:  
Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Attorney Docket	KINE-001CIP5
Applicant	DEDHAR, SHOUKAT
Serial Number	09/998,250
Filing Date	November 30, 2001
Group Art Unit	1633
Examiner Name	
Title: "TREATMENT OF INFLAMMATORY DISEASES INCLUDING PSORIASIS"	

Sir:

A filing receipt for the above-identified patent application has been issued by the U.S. Patent and Trademark Office (copy attached) and has been found to contain the following error(s):

- (1) Please correct the "Applicant: Ladan Fazli family name spelling" as indicated on the application and the marked up copy of the filing receipt.
- (2) Please replace the "Applicant's city of North Vancouver with Richmond" as indicated on the Supplemental Application Data Sheet and the marked up copy of the filing receipt.

If for any reason a fee is found to be necessary, the Commissioner is authorized to charge such fee to Deposit Account No. 50-0815.

Respectfully submitted,  
BOZICEVIC, FIELD & FRANCIS LLP

Date: February 28, 2002

By: Pamela J. Sherwood  
Pamela J. Sherwood  
Registration No. 36,677

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/998,250	11/30/2001	1633	370	KINE001CIP5	3	14	1

CONFIRMATION NO. 5685

## FILING RECEIPT



\*OC000000007238622\*

PAMELA J. SHERWOOD  
Bozicevic, Field and Francis LLP  
Suite 200  
200 Middlefield Road  
Menlo Park, CA 94025

C  
ORIGINALLY FILED

Date Mailed: 12/28/2001

SMH  
PTS  
Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

→ PLEASE REPLACE WITH: 'RICHMOND'

Shoukat Dedhar, North Vancouver, CANADA;  
Greg Hannigan, Toronto, CANADA;  
David W.C. Hunt, Surrey, CANADA;  
Jing-Song Tao, Vancouver, CANADA;  
Ladan Fazli, North Vancouver, CANADA;

→ PLEASE REPLACE WITH: 'FAZ LI'

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/390,425 09/03/1999  
WHICH IS A CON OF 09/035,706 03/05/1998 PAT 6,001,622  
WHICH IS A CIP OF 08/955,841 10/21/1997 PAT 6,013,782  
WHICH IS A CIP OF 08/752,345 11/19/1996 ABN  
WHICH CLAIMS BENEFIT OF 60/009,074 12/21/1995

RECEIVED

JAN 03 2002

Bozicevic, Field &amp; Francis

## Foreign Applications

If Required, Foreign Filing License Granted 12/28/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

**Title**

Treatment of inflammatory diseases including psoriasis

**Preliminary Class**

435

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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**NOT GRANTED**

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## INVENTOR INFORMATION

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	V7C 5R1
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Country::	CANADA
Postal or Zip Code::	V6L 1B1
Citizenship Country::	CANADA

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Postal or Zip Code:: V7M 2P7  
Citizenship Country:: CANADA

## CORRESPONDENCE INFORMATION

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Telephone Two:: (650) 833-7790  
Fax:: (650) 327-3231  
Electronic Mail:: SHERWOOD@BOZPAT.COM

## **APPLICATION INFORMATION**

Title Line One:: TREATMENT OF INFLAMMATORY  
DISEASES INCLUDING PSORIASIS  
Total Drawing Sheets:: 3  
Application Type:: UTILITY  
Docket Number:: KINE001CIP5

## **REPRESENTATIVE INFORMATION**

Representative Customer Number:: 24353

## **CONTINUITY INFORMATION**

This application is a:: CONTINUATION IN PART OF  
> Application One:: 09/390,425  
Filing Date:: September 3, 1999

Which is a CONTINUATION OF  
> Application Two:: 09/035,706  
Filing Date:: March 5, 1998  
Now issued as US Patent Number 6,001,622

Which is a:: CONTINUATION IN PART OF  
>> Application Three:: 08/955,841  
Filing Date:: October 21, 1997  
Now issued as US Patent Number 6,013,782

Which is a:: CONTINUATION IN PART OF  
>>> Application Four:: 08/752,345  
Filing Date:: November 19, 1996, Now Abandoned

Which claims benefit of:: PROVISIONAL APPLICATION  
>>> Application Five:: 60/009,074  
Filing Date:: December 21, 1995